RIA Advantage

Registered Investment Advisors provide crucial services to help others reach their financial objectives. AmTrust has created the RIA Advantage program to address the unique exposures an advisor faces so they can focus on doing what they do best.

34 Countries

where AmTrust is globally present

Robust Product Offering

designed for the specific needs of financial institutions

In-house Claims Handling

with dedicated team and reporting email address

866.327.6904
www.amtrustfi.com

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Product Highlights

- Coverages written on an admitted basis under Wesco Insurance Company
- RIA Advantage provides D&O, E&O, EPL, and Fiduciary on one form. Coverage can also be purchased on a standalone basis.
- Customized coverage is available for Registered Investment Advisors (RIAs) with Assets Under Management (AUM) of < $3B.
- Ability to match current retroactive coverage for RIA firms and individual IARs.
- Ability to provide coverage for tax preparation.
- Cost of Corrections Coverage available
- Coverage for the sale of life, accident, health & disability insurance available.
- Worldwide coverage territory for wrongful acts and claims taking place anywhere in the world
- Automatic coverage to new subsidiaries created or acquired totalling less than 30% of AUM of the Insured

Additional Features

- Limit options available from $250K to $5MM per claim
- Shared or Separate Limit options available
- Auto renewal available to eligible clients (for up to 2 terms)
- Retentions as low as $2,500
- Right and Duty to Defend option available to policyholders
- Flexibility to manuscript and tailor coverage in some cases
- Discounted multi-year terms available, if paid in full.
- Product is not available in AK, HI, and VT

For more information & applications, please visit www.amtrustfi.com or contact us at FISubmissions@amtrustgroup.com