

RIA Advantage

Registered Investment Advisors provide crucial services to help others reach their financial objectives. AmTrust has created the RIA Advantage program to address the unique exposures an advisor faces so they can focus on doing what they do best.



34 countries

where AmTrust is globally present



\$24.8 Billion

in total assets



\$3.5 Billion

in GAAP surplus capital

Program Highlights

- Customized coverage is available for Registered Investment Advisors (RIAs) with Assets Under Management (AUM) of < \$3B.
- RIA Advantage provides D&O, E&O, EPL, Fiduciary, and Cyber Liability on one form. Coverage can also be purchased on a stand alone basis.
- Ability to match current retroactive coverage for RIAs
- Cost of Corrections Coverage available
- Coverage for the sale of life, accident, health & disability insurance available.
- Minimum premium of \$2,500 (some limited ability to price lower)
- Retentions as low as \$5,000
- Multi-year terms available
- Auto renewal available to eligible clients (for up to 2 terms)
- Flexibility to manuscript and tailor coverage in some cases
- Product is not available in AK, HI, NY, and VT

Multi-Year Eligibility

- RIA Advantage is available to all BAM ALLIANCE members at preferred terms and conditions provided the RIA has:
 - 5 years experience (individual)
 - AUM under \$1B
 - No claims in the past 3 years
 - No specialty areas in excess of 10% of their AUM – Unregistered securities, derivatives, nontraditional life products, commodities, pooled vehicles, Private REITs, and Hedge Funds
- Multi-year terms provided at a factor of 1.9 for 2 year policies and 2.7 for three years



THE BAM ALLIANCE®

866.327.6904

www.amtrustfi.com