

# AmTrust E&S Pro



## Pro Exec

The Financial Services industry faces greater challenges than ever before. Companies are subject to greater scrutiny, complex regulation and an increasingly litigious investment environment. AmTrust E&S Pro Exec helps firms navigate today's risk landscape by providing customizable professional and management liability insurance coverage, all backed by experienced underwriting and skilled claims management.

## Policy Limits / Capacity

- Up to \$5 million offered on a primary or excess basis

## Available Coverage

- Miscellaneous Professional Liability
- Financial Services Professional Liability
- Directors & Officers Liability
- Employment Practices Liability
- Fiduciary Liability
- Network Security & Privacy Liability
- Disciplinary Proceedings Coverage

## Coverage Highlights & Advantages

- Cafeteria-style selection format affords convenience and flexibility
- Claims made and reported policy with duty to defend language
- Broad D&O definition of claim including monetary, non-monetary and injunctive relief
- D&O coverage for civil, criminal, administrative, regulatory or arbitration proceedings against an Insured
- Broad Professional Liability coverage part with customizable definition of professional services
- Network Security and Privacy Liability coverage and Disciplinary Proceedings coverage available
- EPL includes third-party coverage
- Broad EPL definition of employee includes independent contractors
- Definition of loss includes alleged violations of Fair Labor Standard Act relating to classifying employees
- Fiduciary Liability includes voluntary settlement fees and claims expenses
- Fiduciary Definition of Loss includes pre- and post-judgment interest and up to a \$100,000 limit for HIPAA penalties

## Distribution Channel

AmTrust E&S is committed to the wholesale market and subscribes to a limited distribution model. Products are approved in all 50 states and distributed exclusively through a select number of licensed and contracted Excess and Surplus brokers.

## Submission Requirements

- AmTrust E&S Pro Application and appropriate supplemental or equivalent
- Five-year currently valued company loss runs
- Company Financial Statements as appropriate
- Copy of expiring Dec Page required upon binding (if quoted with prior acts)

## Targeted Classification

The AmTrust E&S Pro Exec product offers protection for a wide range of financial and professional consultants, as well as business service providers. These include but are not limited to the following, as many policies are written for one-of-a-kind exposures.

### Financial Services:

- Broker Dealers
- Investment Advisors
- Hedge Funds
- Investment Bankers
- Merger & Acquisition Consultants
- Financial Consultants
- Pension Consultants
- Real Estate Companies
- Equipment Finance
- Asset Backed Lending
- Investment Valuation/Fairness
- Economic Advisors
- Government / Community Development
- Crowd Funding Platforms
- Financial Technology Firms

### Business Services:

- Arbitrators / Mediators
- Business Associations
- Claims Administrators
- Insurance Support Services
- Document / Information Services
- Payroll Services
- Medical Billing / Coding
- Publishers / Printers
- Literary Agent
- Event Planners / Promoters
- Market Researchers
- Public Relation Services
- Repossession Services

### Professional & Consultants:

- Agricultural
- Communications
- Education / Training
- Financial
- Human Resources
- Management
- Marketing / Media
- Public Relations
- Quality Assurance
- Research & Development
- Telecommunication
- Property Managers



AmTrust E&S Insurance Services  
An AmTrust Financial Company

[www.amtrustes.com](http://www.amtrustes.com)

# AmTrust E&S Pro

## Why AmTrust E&S

- **Flexible, knowledgeable, individual risk underwriters:** No matter how complex or difficult the coverage, our experienced underwriters will work closely with you to develop a custom-made solution.
- **Limited distribution platform:** Offering limited appointments to a select number of brokers provides a competitive advantage and fosters meaningful trading partnerships.
- **Dedicated claims team:** Our experienced claims professionals are committed to providing best-in-class claims management and working aggressively to protect your clients' interests.
- **Strength and stability:** Our coverage is backed by the exceptional financial strength and solid claims-paying ability of AmTrust Financial Services, rated "A-" (Excellent) by A.M. Best.

## About AmTrust E&S

AmTrust E&S Insurance Services, Inc. is an excess and surplus underwriter of specialty insurance products designed to meet the unique coverage and claims-handling needs of a diverse array of hard-to-place/nonstandard risks.

We distribute our products through a select group of licensed and contracted surplus lines brokers. Coverage is offered on a non-admitted basis in all 50 states and the District of Columbia through our underwriting divisions: Commercial Casualty, Professional Liability and Contract Binding.

AmTrust E&S Insurance Services is a subsidiary of AmTrust Financial Services, Inc., a multinational property and casualty insurer with an A.M. Best rating of "A-" (Excellent), FSC "XV," Stable Outlook.

To learn more about our products and services, visit:  
[www.amtrustes.com](http://www.amtrustes.com).

## Corporate Contacts:

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## Key Underwriting Contacts:

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## Submission Mailbox

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## Claims Reporting

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## Loss Run Requests

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*If you are interested in an appointment with AmTrust E&S or in learning more about our products and services, please contact us or visit our website at [www.amtrustes.com](http://www.amtrustes.com).*



A.M. Best rating of "A-"  
(Excellent) FSC "XV,"  
Stable Outlook



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